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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/602,921	06/23/2000	Therese A. Voevodsky	COM31 P-301	1017

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PRICE HENEVELD COOPER DEWITT & LITTON
695 KENMOOR, S.E.
P O BOX 2567
GRAND RAPIDS, MI 49501

EXAMINER

PARDO, THUY N

ART UNIT

PAPER NUMBER

2175

DATE MAILED: 03/12/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Applicati n No.

09/602,921

Applicant(s)

VOEVODSKY, THERESE A.

Examiner

Thuy Pardo

Art Unit

2175

-- The MAILING DATE of this communication appears on th cover sheet with the corresp ndence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 November 2002.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DOV POPOVICI
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100

DETAILED ACTION

1. Applicant's Request for Reconsideration filed on November 15, 2002 in response to Examiner's Office Action has been reviewed.

2. Claims 1-21 are presented for examination.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.

4. Claims 1, 3-8, 10-15, and 17-21 are rejected under 35 U.S.C. § 102(e) as being anticipated by **Kahn et al.** (Hereinafter "Kahn") US Patent No. 6,401,079.

As to claim 1, Kahn teaches the invention substantially as claimed, comprising the steps of:
maintaining a database of subscriber data [a central database, see the abstract] that is provided by a plurality of subscribers [employer remote terminals, 10 of fig. 1], the subscriber data including employee data for a plurality of employees [employee data, 30 of fig. 1], wherein the

employee data is correlated to a plurality of employee attributes [name, employee number, social security number, date of birth, etc., col. 11, lines 59 to col. 12, lines 10];

receiving a query from a specific subscriber, the query including at least one desired employee attribute [SQL statements that calculate legally-required overtime for employee, col. 14, lines 20-67; fill in "Accrual Rates Table", fig. 10(b); col. 30, lines 42-67]; and

compiling a report from the database in response to the query [reports, see the abstract; 1170 of fig. 3; automatically generated reports to appropriate tax authorities, col. 6, lines 8-22], the report providing associated employee data for employees that have the at least one desired employee attribute [newly calculated overtime hours, col. 14, lines 20-67; displaying the employee's annual paid-time-off accrual rate, fig. 10(c); col. 31, lines 1-13; col. 5, lines 57-67].

As to claim 3, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches associating the query with the specific subscriber [SQL statements that calculate legally-required overtime for employee, col. 14, lines 20-67];

storing the query [stored procedure contains SQL statements, col. 14, lines 31-43]; and

providing an updated report [updating the employee's paycheck due to the rules that have been updated or created, col. 15, lines 20-31; col. 14, lines 8-67] when the specific subscriber selects the stored query [stored procedure will clear out any previous calculated overtime from the employee's timesheet and insert the newly calculated overtime hours, col. 14, lines 56-61], wherein the specific subscriber is not required to again enter at least one desired employee attribute associated with the stored query [rules and calculations can be easily modified by adding or deleting steps

within database tables, without manually modifying the SQL statements within the stored procedures, col. 14, lines 20-30].

As to claim 4, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches that the employee data is employee compensation data [col. 11, lines 64 to col. 12, lines 6] and the report provides a comparison between the employee compensation data of the specific subscriber and the employee compensation data of all other subscribers with the at least one desired employee attribute [employer can also view the raw data and summary data in the context of various reports that compare the employee to other employees, col. 17, lines 35-59; Job classification including classification name, and the ID of the employer using a particular job classification, and this information can be used to create salary surveys across different employers, see col. 22, lines 7-14].

As to claim 5, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches that the query includes at least one scope measure [enter the number of months employed: 8 and check calculated accrual rate, see fig. 10(c)].

As to claim 6, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches that the report includes a summary of the desired employee attribute used to compile the report [statements reporting payment per employee, col. 19, lines 42-67].

As to claim 7, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches that the report includes the employee data in tabular and graphical format [fig. 45a illustrates a paycheck, see tables and graphical forms, fig. 5-46(b)].

As to claim 8, Kahn teaches the invention substantially as claimed as specified in claim 1. Kahn further teaches a processor [PC, col. 1, lines 11-22; processing units, col. 57, lines 53-55]; a memory subsystem for storing data and information [see 30, 40, 50, 60, 70, 80, 82, 84, 86, 90, 100, 110, 112, 114, 116, and 120 of fig.]; and executable code located within the memory subsystem for the system to perform the method steps as specified in claim 1 [workflow sequence data 116 includes information and executable code that enables employers to create customized, automated workflows through the system user interface screens, col. 13, lines 58-61].

As to claim 10, all the limitations of this claim have been rejected in the analysis of claim 3 above; therefore, this claim is rejected on that basis.

As to claim 11, all the limitations of this claim have been rejected in the analysis of claim 4 above; therefore, this claim is rejected on that basis.

As to claim 12, all the limitations of this claim have been rejected in the analysis of claim 5 above; therefore, this claim is rejected on that basis.

As to claim 13, all the limitations of this claim have been rejected in the analysis of claim 6 above; therefore, this claim is rejected on that basis.

As to claim 14, all the limitations of this claim have been rejected in the analysis of claim 7 above; therefore, this claim is rejected on that basis.

As to claim 15, Kahn teaches the invention substantially as claimed as specified in claims 1 and 8 above. Kahn further teaches compensation data for employees [payroll data, benefits data, 50, 60 of fig. 1; see the abstract].

As to claim 17, all the limitations of this claim have been rejected in the analysis of claims 3 and 10 above; therefore, this claim is rejected on that basis.

As to claim 18, all the limitations of this claim have been rejected in the analysis of claims 4 and 11 above; therefore, this claim is rejected on that basis.

As to claim 19, all the limitations of this claim have been rejected in the analysis of claims 5 and 12 above; therefore, this claim is rejected on that basis.

As to claim 20, all the limitations of this claim have been rejected in the analysis of claims 6 and 13 above; therefore, this claim is rejected on that basis.

As to claim 21, all the limitations of this claim have been rejected in the analysis of claims 7 and 14 above; therefore, this claim is rejected on that basis.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. § 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 2, 9, and 16 are rejected under 35 U.S.C. § 103 (a) as being unpatentable over Kahn et al. (Hereinafter "Kahn") US Patent No. 6,401,079, in view of Nguyen et al. (Hereinafter "Nguyen") U.S. Patent No. 5,737,592.

As to claim 2, Kahn teaches the invention substantially as claimed. However, Kahn does not explicitly teach that the report is electronically provided to the specific subscriber via an HTML form although it has the same functionality of facilitating online payroll and benefits administration systems [see the abstract]. Nguyen teaches executing SQL queries in a RDBMS via World Wide Web of the Internet and the results output by RDBMS software are themselves transformed into HTML format for presentation to the Web user. Therefore, It would have been obvious to one of ordinary skill in the Data Processing art at the time of the invention to have modified the Web-based

communication service system of Kahn wherein the transfer of results output such as reports via a standard Web browser provided thereof would have incorporated the teachings of Nguyen especially the methodology of transforming the results output into HTML format for presentation to the Web users. The motivation being to enhance the versatility of Kahn's system by allowing results output such as reports being transformed into HTML format for presentation to the Web users [see the abstract of Nguyen, lines 8-10].

As to claim 9, all the limitations of this claim have been rejected in the analysis of claim 2 above; therefore, this claim is rejected on that basis.

As to claim 16, all the limitations of this claim have been rejected in the analysis of claim 2 above; therefore, this claim is rejected on that basis.

Response to Arguments

7. (A) Applicant argues that Kahn does not teach correlating employee data to a plurality of employee attributes. Further, Kahn does not teach receiving a query, which includes at least one desired employee attribute from a specific subscriber and compiling a report which provides associated employee data for employees that have the at least one desired employee attribute.

As to point (A), Examiner respectfully disagrees. Examiner believes that this feature is taught by Kahn. Kahn teaches SQL statements (or query) that calculate legally-required overtime (desired

attribute) for all employees, collect the list of non-exempt employees in the payroll group, and for each such employee [see col. 14, lines 31-39]. Kahn also teaches correlating employee data to a plurality of employee attributes by allocating the sharing of payments for other employee benefit programs between employers and employees [col. 5, lines 40-46] in order to compile a custom report to the appropriate party such as employer, employee, etc. at the specified reporting interval [col. 5, lines 55-61].

(B) Applicant argues that the employee data is not an employee's attribute as defined in Applicant's Specification.

As to point (B), Examiner respectfully disagrees. Applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "skills and responsibilities") are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Examiner believes that employee data such as an employee name, employee ID, employee SSN, date of birth, etc., are considered attributes of an employee in a database.

© Applicant argues that Kahn does not teach associating a query with a specific subscriber, storing the query and providing an updated report when the specific subscriber selects the stored query, such that the specific subscriber is not required to again enter at least one desired employee attribute associated with the stored query.

As to point (c), Examiner respectfully disagrees. Examiner believes that this feature is taught by Kahn. Kahn teaches associating the query with the specific subscriber [SQL statements that calculate legally-required overtime for employee, col. 14, lines 20-67];

storing the query [stored procedure contains SQL statements, col. 14, lines 31-43]; and

providing an updated report [updating the employee's paycheck due to the rules that have been updated or created, col. 15, lines 20-31; col. 14, lines 8-67] when the specific subscriber selects the stored query [stored procedure will clear out any previous calculated overtime from the employee's timesheet and insert the newly calculated overtime hours, col. 14, lines 56-61], wherein the specific subscriber is not required to again enter at least one desired employee attribute associated with the stored query [rules and calculations can be easily modified by adding or deleting steps within database tables, without manually modifying the SQL statements within the stored procedures, col. 14, lines 20-30].

8. Applicant's arguments have been fully considered but they are not persuasive.

Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS

FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thuy Pardo, whose telephone number is (703) 305-1091. The examiner can normally be reached Monday through Thursday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dov Popovici, can be reached at (703) 305-3830.

The fax phone number for the organization where this application or proceeding is assigned are as follows:

(703) 746-7238	(After Final Communication)
(703) 746-7239	(Official Communication)
(703) 746-7240	(For Status inquiries, draft communication)

and/or:

(703) 746-5616 (*Use this Fax#, only after approval by Examiner, for "INFORMAL" or "Draft" communication. Examiner may request that a formal/amendment be faxed directly to them on occasions*).

Any inquiry of a general nature of relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

11. **Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 308-9051, (for formal communications intended for entry)

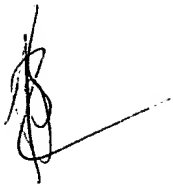
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(703) 308-5359, (for informal or draft communications, please label

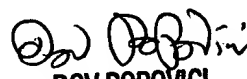
"PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington.

VA., Sixth Floor (Receptionist).



Thuy Pardo
February 27, 2003



DOV POPOVICI
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100